

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Microvision, Inc.Application No./Patent No.: 09/598,793 Filed/Issue Date: June 21, 2000Entitled: Digital Fingerprint Identification SystemMicrovision, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Philippe Tarbouriech To: Xenote, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 011116, Frame 0576, or for which a copy thereof is attached.
2. From: Xenote To: Microvision, Inc.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 011949, Frame 0601, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Kevin D. Wills/January 08, 2008SignatureDateKevin D. Wills(425) 882-6603Printed or Typed NameTelephone NumberPatent CounselTitle

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



✓ Patent Log

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**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SEPTEMBER 13, 2001

PTAS



\*101771248A\*

MICROVISION, INC.  
CLARENCE T. TEGREENE  
19910 NORTH CREEK PKWY  
PO BOX 3008  
BOTHELL, WA 98011

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/02/2001

REEL/FRAME: 011949/0601  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
XENOTE

DOC DATE: 04/17/2001

ASSIGNEE:  
MICROVISION, INC.  
19910 NORTH CREEK PKWY  
PO BOX 3008  
BOTHELL, WASHINGTON 98011

SERIAL NUMBER: 09598793  
PATENT NUMBER:

FILING DATE: 06/21/2000  
ISSUE DATE:

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RECEIVED

07-10-2001

EXPRESS MAIL NO.

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101771248

To the Honorable Commissioner of Patents and Trademarks, original document or copy thereof.

## 1. Name(s) of conveying party(ies):

- 1) XENOTE  
2)  
3)  
4)  
5)  
6)

7-201

Additional names of conveying parties attached? ☐ Yes ☒ No

## 2. Name and address of receiving party:

Name: MICROVISION, INC.

Internal Address:

JUL - 2 2001

Street Address: 19910 NORTH CREEK PKWY

PO BOX 3008

City: BOTHELL State: WA

Zip: 98011

Additional names & addresses attached? ☐ Yes ☒ No

## 4. Application number(s) or registration number(s): 09/598,793

If this document is being filed together with a new application,  
the execution date of the application is \_\_\_\_\_.A. Patent Application No(s).  
09/598,793

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MICROVISION, INC.

Internal Address: CLARENCE T. TEGREENE

PO Box 3008

Street Address: 19910 North Creek Pkwy

City: Bothell State: WA ZIP: 98011

## 6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41): \$40

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

500284

07/09/2001 6TOM11 00000020 09598793

01 FC:581

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

CLARENCE T. TEGREENE

Name of Person Signing

Signature

Date

4/28/01

Total number of pages including cover sheet, attachments, and document: 4

## ASSIGNMENT

WHEREAS, XENOTE (hereinafter referred to as ASSIGNEE), having a post office address of c/o Ridge Ventures, Suite 200, 1860 El Camino Real, Burlingame, CA 94010, is the assignee of an invention entitled "DIGITAL FINGERPRINT IDENTIFICATION SYSTEM" as described and claimed in the specification for which an application for United States letters patent was filed on June 21, 2000, and assigned Application No. 09/598,793; and

WHEREAS, Microvision, Inc., hereinafter referred to as ASSIGNEE), a corporation of the State of Washington, having a place of business at Microvision, Inc., 19910 North Creek Parkway, PO Box 3008, Bothell, WA 98011, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNEE XENOTE hereby sells, assigns and transfers unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNEE XENOTE had this assignment, transfer and sale not been made. ASSIGNEE XENOTE hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNEE XENOTE agrees to execute all instruments and documents

required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

XENOTE

4/17/01  
Date

*[Signature]*  
By Mark Kaufmann - Pres. & CEO

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ )

ss.

*See attached* *[Signature]*

I certify that I know or have satisfactory evidence that \_\_\_\_\_ is an authorized representative of Xenote who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated \_\_\_\_\_

Signature of \_\_\_\_\_  
Notary Public

Printed Name \_\_\_\_\_

My appointment expires \_\_\_\_\_

# ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

San Mateo

SS.

On

April 17, 2001

(DATE)

before me,

Ann M. Yavorsky

(NOTARY)

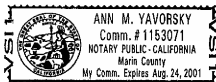
personally appeared

Mark Kaufmann

SIGNER(S)

☐ personally known to me - OR -

☒ proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Ann M. Yavorsky  
NOTARY'S SIGNATURE

## OPTIONAL INFORMATION

The information below is not required by law. However, it could prevent fraudulent attachment of this acknowledgment to an unauthorized document.

### CAPACITY CLAIMED BY SIGNER (PRINCIPAL)

- ☒ INDIVIDUAL  
☐ CORPORATE OFFICER

TITLE(S)

- ☐ PARTNER(S)  
☐ ATTORNEY-IN-FACT  
☐ TRUSTEE(S)  
☐ GUARDIAN/CONSERVATOR  
☐ OTHER:

### SIGNER IS REPRESENTING:

NAME OF PERSON(S) OR ENTITY(IES)

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NUMBER OF PAGES

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Washington, D.C. 20231

NOVEMBER 21, 2000

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MEYER INTELLECTUAL PROPERTY LAW  
VIRGINIA H. MEYER, ESQ.  
475 GATE FIVE ROAD, SUITE 275  
SAUSALITO, CALIFORNIA 94965



\*101478018A\*

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RECORDATION DATE: 09/26/2000

REEL/FRAME: 011116/0576  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TARBOURIECH, PHILIPPE

DOC DATE: 09/20/2000

ASSIGNEE:

XENOTE, INC., A CORP. OF  
CALIFORNIA  
2000 ALAMEDA DE LAS PULGAS, SUITE  
250  
SAN MATEO, CALIFORNIA 94403

SERIAL NUMBER: 09598793

FILING DATE: 06/21/2000

PATENT NUMBER:

ISSUE DATE:

ALLYSON PURNELL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



10-03-2000



101478018

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☐ License ☐ Change of Name  
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(For Use ONLY by U.S. Government Agencies)  
☐ Departmental File ☐ Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached  
Name (line 1) TARBOURIECH, Philippe Execution Date  
Month Day Year  
Sept 20, 00

Name (line 2) \_\_\_\_\_  
Second Party  
Name (line 1) \_\_\_\_\_ Execution Date  
Month Day Year  
Name (line 2) \_\_\_\_\_

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) Xenote, Inc., a California corporation ☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)  
Name (line 2) \_\_\_\_\_  
Address (line 1) 2000 Alameda de las Puigas  
Address (line 2) Suite 250  
Address (line 3) San Mateo California 94403  
City State/Country Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name \_\_\_\_\_  
Address (line 1) \_\_\_\_\_  
Address (line 2) \_\_\_\_\_  
Address (line 3) \_\_\_\_\_  
Address (line 4) \_\_\_\_\_

09/30/2000 GSESH1 00000062 09598793

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231



## PATENT

## Correspondent Name and Address

Area Code and Telephone Number (415) 289-7470

Name MEYER INTELLECTUAL PROPERTY LAW

Address (line 1) 475 Gate Five Road

Address (line 2) Suite 275

Address (line 3) Sausalito

Address (line 4) California 94965

## Pages

Enter the total number of pages of the attached conveyance document including any attachments. #

## Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

## Patent Application Number(s)

09598793

## Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

## Patent Cooperation Treaty (PCT)

Enter PCT application number

PCT

PCT

PCT

only if a U.S. Application Number has not been assigned.

PCT

PCT

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## Number of Properties

Enter the total number of properties involved. #

1

## Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

40

Method of Payment:

Deposit Account

Enclosed ☒Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 13-3075

Authorization to charge additional fees:

Yes

☒

No

☐

## Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Virginia H. Meyer, Esq. Reg. No. 30089

Name of Person Signing

Signature

Date

9-26-00

## ASSIGNMENT

I, Philippe Tarbouriech, a citizen of France (hereinafter called "the ASSIGNOR"), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, have assigned and do hereby assign to Xenote, Inc., a California corporation, 2000 Alameda de las Pulgas Suite 250, San Mateo, CA 94403 (hereinafter called "the ASSIGNEE"), its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by me and disclosed in an application for Letters Patent of the United States, entitled "**Digital Fingerprint Identification System**", filed June 21, 2000, and accorded United States Serial Number 09/598,793, plus the entire right, title and interest in and to any and all letters patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisionals, reissues and continuations thereof, including the right to claim priority rights deriving from said United States application by virtue of any United States law and/or any International Convention, said applications and letters patent issuing from said application to be held and enjoyed by ASSIGNEE for its use and benefit and of its successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment, transfer and sale not been made.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and comparable officials in foreign countries to issue or grant all letters patent from these applications to ASSIGNEE. ASSIGNOR agrees to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent, for litigation regarding said letters patent, or for the purpose of protecting title to applications or letters patent therefor.

Assignment Execution Date: 9/20, 2000

  
Philippe Tarbouriech

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